



OFFICE OF INFORMATION SERVICES

DATE: August 14, 2006

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and
Demonstration Organizations Systems Staff

FROM: Henry Chao /s/
MA/Part D Implementation Manager

SUBJECT: Announcement of System Software Changes

CMS continues to work toward streamlining and improving the systems that support the Medicare Modernization Act. As part of this effort, system changes have been scheduled to be moved into production on August 15, 2006, unless otherwise noted below. This letter provides information regarding these changes so Plans may assess the impact on their organization. Plans will see the resultant changes in the User Interface, reports and data files after that date.

In July, CMS began a phased-in implementation of new and revised screens that combine beneficiary information from the separate MARx and MBD User Interfaces, into a single user interface, the MARx UI. It is important to note that with these changes, all data that is currently available to the Plans will remain available. The single UI will provide the Plans with a consistent view of beneficiary eligibility and enrollment data. This release continues the implementation of the UI changes. Once all phases of the new UI implementation are in place, the MBD UI will be eliminated after an appropriate transition period.

The changes involved in this release fall into the following categories:

- 1. Report and Data File Changes**
- 2. User Interface Changes**
- 3. Enrollment Processing Changes**
- 4. IACS Portal Screen Change** – production date August 18, 2006

Report and Data File Changes

Delivery of Low Income Subsidy Premium Data File

The LISPRMD report which was previously available to plans to request via the MARx UI on a bi-weekly basis will now be automatically pushed to the plans when it is generated on the 1st and 3rd weekend of each month.

Low Income Subsidy / Late Enrollment Penalty Report Data File Correction

The Low Income Subsidy / Late Enrollment Penalty Report Data File has been modified to show Contract Totals rather than PBP totals.

The LIS/LEP report data file is produced monthly. It includes records for all of the Plan's LIS beneficiaries and all direct bill beneficiaries for whom the LEP amounts are due. When the group of LIS beneficiaries overlaps the LEP beneficiaries, one record containing both the LIS and LEP amounts is provided for each beneficiary.

The new LIS/LEP Report Data File layout can be found at the end of this document.

User Interface Changes

Availability of Entitlement and Low Income Information for All Beneficiaries

Building on the changes made to the system in July, which moves toward a single User Interface for Plans to query eligibility and enrollment information, a change has been made in the M232 Eligibility Screen in the MARx User Interface. This new functionality allows plans to view the most recent Entitlement and Low Income information for any beneficiary in order to support pre-enrollment plan activities. The information provided will be the most recent Entitlement and LI information and will display a message indicating that it is "pre-enrollment information".

To facilitate plan usage of the combined User Interface, additional information about the implementation timeline for the new UI as well as a crosswalk of existing MBD fields to the corresponding fields in the new User Interface will be forthcoming.

Enrollment Processing Changes

Open Enrollment Period for Institutional Individuals (OEPI) Election Type Rule Change

TRC 102 (Rejected; Invalid or Missing Application Date) will no longer be returned for enrollment transactions using the OEPI Election Type (Open Enrollment Period for Institutionalized Individuals). Plans will be allowed to enroll beneficiaries into their plan using the OEPI (T) election type even if the beneficiary's status was not previously set as institutional. Plans are responsible for verifying a beneficiary's institutional status when using the OEPI election type.

PBPs that Terminate

Moving forward, when a PBP ends, any enrollments associated with that PBP will be given the end date of the PBP. A clean-up for affected beneficiaries will be scheduled.

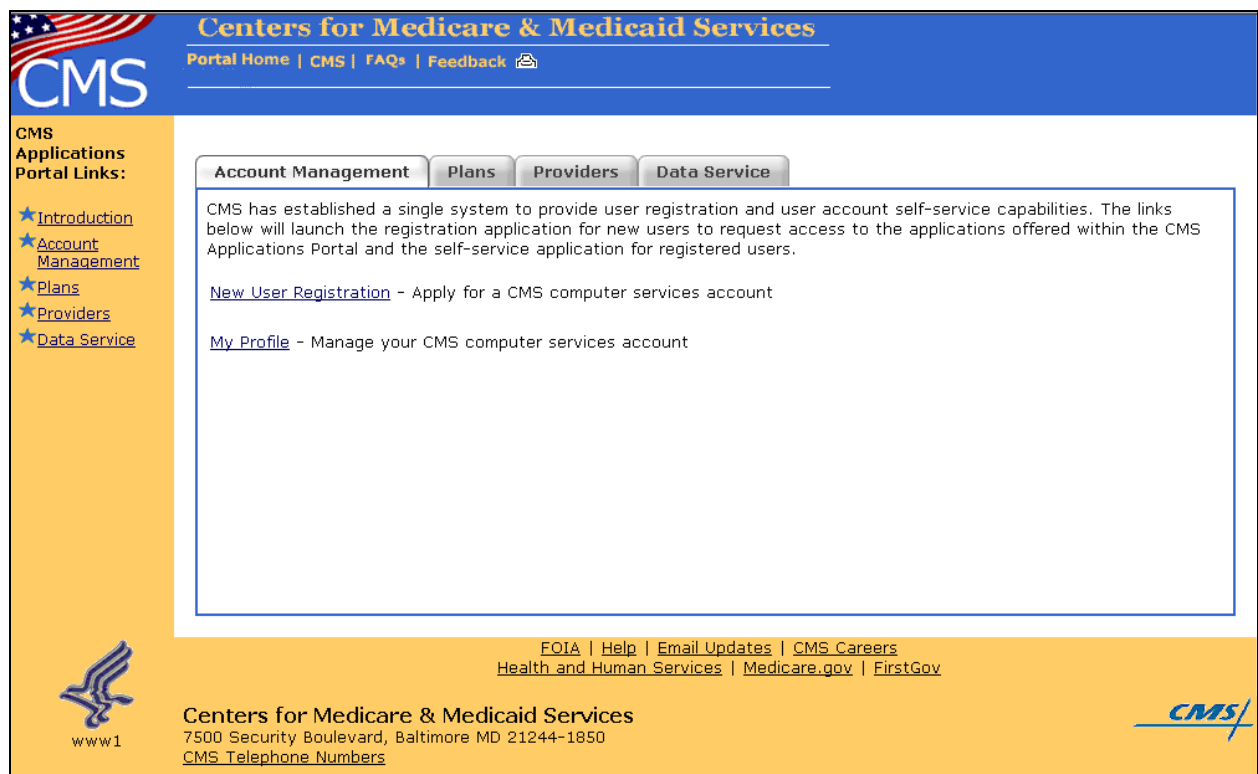
Maintenance of Beneficiary's Dialysis Flag

When a beneficiary is disenrolled or has a status change (other than a dialysis status change), CMS will now retain the value of the beneficiary's dialysis flag. A system error was causing the dialysis flag value to be dropped.

IACS User Interface Change

Modified Portal Home Page

CMS provides a tool for user registration and user account self-service capabilities via the IACS applications portal: <https://applications.cms.hhs.gov>. The portal home page has recently been modified as shown below. The Registration tab has been renamed to Account Management and includes two new links to guide the user through the available functionality, New User Registration and My Profile.



Please direct questions or concerns to the MMAHelp Desk at 1-800-927-8069 or via email to mmahelp@cms.hhs.gov.

LOW-INCOME SUBSIDY/LATE ENROLLMENT PENALTY DATA FILE

Monthly LIS/LEP Data File				
#	Field Name	Len	Pos	Description
HEADER RECORD				
1	Record Type	3	1-3	H = Header Record PIC XXX
2	MCO Contract Number	5	4-8	MCO Contract Number PIC X(5)
3	Payment/Payment Adjustment Date	6	9-14	YYYYMM First 6 digits contain Current Payment Month PIC 9(6)
4	Datafile Date	8	15-22	YYYYMMDD Date this datafile created PIC 9(8)
5	FILLER	143	23-165	Spaces
DETAIL RECORD				
1	Record Type	3	1-3	PD = Prospective Detail Record “Prospective” means Premium Period equals Payment Month reflected in Header Record AD = Adjustment Detail Record “Adjustment” means all premium periods other than Prospective PIC XXX
*** PLAN IDENTIFICATION				
2	MCO Contract Number	5	4-8	MCO Contract Number PIC X(5)
3	Plan Benefit Package Number	3	9-11	Plan Benefit Package Number PIC X(3)
4	Plan Segment Number	3	12-14	Plan Segment Number PIC X(3)
*** BENEFICIARY IDENTIFICATION & PREMIUM SETTINGS				
5	HIC Number	12	15-26	Member's HIC # PIC X(12)
6	Surname	7	27-33	PIC X(7)
7	First Initial	1	34	PIC X
8	Sex	1	35	M = Male, F = Female PIC X
9	Date of Birth	8	36-43	YYYYMMDD PIC 9(8)
10	FILLER	1	44	Space

Monthly LIS/LEP Data File				
#	Field Name	Len	Pos	Description
*** PREMIUM PERIOD				
11	Premium/Adjustment Period Start Date	6	45-50	<u>PD</u> : current processing month. <u>AD</u> : adjustment period. YYYYMM PIC 9(6)
12	Premium/Adjustment Period End Date	6	51-56	<u>PD</u> : current processing month. <u>AD</u> : adjustment period. YYYYMM PIC 9(6)
13	Number of Months in Premium/Adjustment Period	2	57-58	PIC 99
14	PD: Net Monthly Part D Basic Premium AD: Net Monthly Part D Basic Premium Amount	8	59-66	Plan's Part D Basic Rate in effect for this premium period Net is Monthly Part D Basic Premium (minus) Part D Basic Premium Reduction. NOTE: PD always equals AD for this field PIC -9999.99
15	Low Income Premium Subsidy Percentage	3	67-69	Low Income Premium Subsidy Percentage Subsidy percentage in effect for this premium period Valid values: 100, 075, 050, 025, Blank PIC 999
16	Premium Payment Option	1	70	Current view of Premium payment option. <u>Valid values:</u> D (direct bill) S (SSA withhold) R (RRB withhold) O (OPM withhold) N (no premium applicable) PIC X
*** ACTIVITY FOR PREMIUM PERIOD				
17	Premium Low Income Subsidy Amount	8	71-78	<u>PD</u> : Premium Low Income Subsidy Amount – the portion of the Part D basic premium paid by the Government on behalf of a low income individual <u>AD</u> : For adjustments, compute the adjustment for each month in the (affected) payment period if the payment has already been made. PIC -9999.99

Monthly LIS/LEP Data File

#	Field Name	Len	Pos	Description
18	Net Late Enrollment Penalty Amount for Direct Billed Members	8	79-86	<p>PD: Late Enrollment Penalty Amount for Direct Billed Members owed by beneficiary for premium period. This amount is net of any subsidized amounts for eligible LIS members.</p> <p>Net Late Enrollment Penalty Amount for Direct Billed Members = Late Enrollment Penalty Amount (minus) LEP Subsidy Amount (minus) Part D Penalty Waived Amount</p> <p>AD: For adjustments, compute the adjustment for each month in the (affected) payment period if the payment has already been made. PIC -9999.99</p>
19	Net Amount Payable to Plan	8	87-94	<p>PD: Net Amount Payable to Plan = Premium Low Income Subsidy Amount (field 16) (minus) Net Late Enrollment Penalty Amount for Direct Billed Members (field 17)</p> <p>AD: For adjustments, compute the adjustment for each month in the (affected) payment period if the payment has already been made. PIC -9999.99</p>
20	FILLER	71	95-165	Spaces
TRAILER RECORDS				Totals by Contract, Plan and Segment for this Premium LIS/LEP Datafile
1	Record Type	3	1-3	PT1 = Trailer, Prospective Totals at Segment Level PT2 = Trailer, Prospective Totals at PBP Level PT3 = Trailer, Prospective Totals at Contract Level AT1 = Trailer, Adjustment Totals at Segment Level AT2 = Trailer, Adjustment Totals at PBP Level AT3 = Trailer, Adjustment Totals at Contract Level CT1 = Trailer, Combined Totals at Segment Level CT2 = Trailer, Combined Totals at PBP Level CT3 = Trailer, Combined Totals at Contract Level PIC XXX
*** PLAN IDENTIFICATION				
2	MCO Contract Number	5	4-8	MCO Contract Number PIC X(5)

Monthly LIS/LEP Data File

#	Field Name	Len	Pos	Description
3	Plan Benefit Package Number	3	9-11	Plan Benefit Package Number Not populated on T3 records PIC X(3)
4	Plan Segment Number	3	12-14	Plan Segment Number Not populated on T2 or T3 records PIC X(3)
5	Total Premium Low Income Subsidy Amount	14	15-28	Total of All Beneficiary Premium Low Income Subsidy Amounts At Level Indicated By Record Type PIC -9(10).99
6	Total Late Enrollment Penalty Amount (net of subsidized amounts for eligible LIS members.)	14	29-42	Total of All Beneficiary Late Enrollment Penalty Amounts At Level Indicated By Record Type PIC -9(10).99
7	Total Net Amount Payable to Plan for Direct Billed Beneficiaries	14	43-56	Total Net Amount Payable to Contract for Direct Billed Beneficiaries = Total Premium Low Income Subsidy Amount (field 5) (minus) Total Late Enrollment Penalty Amount Net of any Subsidy (field 6) PIC -9(10).99
8	FILLER	109	57-165	Spaces